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1651

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Total Number of Pages in This Submission

8

Application Number
10/020,464Filing Date
11-30-2001First Named Inventor
Tacon et al.Art Unit
1651Examiner Name
Naff, David M.Attorney Docket Number
12905**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):

IDS Letter, Return Receipt Post Card

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Battelle Memorial Institute

Signature

Printed name

William B. Richards

Date

08-31-2005

Reg. No.

44,301

CERTIFICATE OF TRANSMISSION/MAILING

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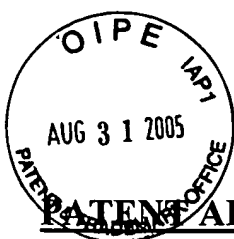
Date

08-31-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: 1651

Attorney

Docket: 12905

Applicant: Tacon et.al.

Title: Shaped Microparticles for
Pulmonary Drug Delivery

Serial No.: 10/020,464

Filed: 11-30-2001

Examiner: Naff, David M.

Certificate Under 37 CFR § 1.10

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on August 31, 2005


Judy Readman

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are patents, publications, or other information, which may be material, as defined in 37 CFR § 1.56(b), to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37CFR § 1.56(a). Also submitted herewith is Form PTO/SB/08A on which is listed all cited items of information.

The following references are enclosed:

US Patent Documents

A1	5,651,900	Keller et al.	07-29-1997
A2	5,770,076	Chu et al.	06-23-1998
A3	5,798,042	Chu et al.	08-25-1998
A4	5,893,974	Keller et al.	04-13-1999
A5	5,985,164	Chu et al.	11-16-1999

The Examiner is respectfully requested to initial the space adjacent to each document entry on Form PTO/SB/08A and to return a copy of the initialed form to the undersigned to confirm that the documents have been considered and have been officially made of record.

While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended, pursuant to 37 CFR §1.97(h), to constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made. Finally, the filing of this Information Disclosure Statement shall not be construed as a representation that no other material information exists and shall not be construed as an admission against interest in any manner.

Pursuant to 37 CFR § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits. Applicants believe no fees are due and payable in connection with this submission. In the event fees are due, please charge

Applicant's undersigned counsel's Deposit Account 021266. A duplicate copy of this authorization is enclosed.

If the Examiner has any questions or wishes to discuss this Application, the Examiner is invited to telephone the undersigned representative at the number set forth below.

Respectfully submitted,



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Reg. No. 44,301

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